

PRIVACY POLICY NOTICE

GEG Advisors is committed to safeguarding the personal information that you provide us. This notice describes our privacy policy and how we handle and protect personal information we collect about you.

CATEGORIES OF PERSONAL INFORMATION COLLECTED

GEG Advisors collects personal information about its customers for business purposes such as evaluating your financial needs, processing your requests and transactions, providing customer service, and, generally, managing your assets. The personal information GEG Advisors collects about you, without limitation, may include:

- Information received from you in writing, in person, by telephone, electronically or any other means. Such information may include name, address, assets, income, and investment experience, goals and objectives.
- Information about transaction(s) with GEG Advisors or an affiliate, such as securities transactions and account usage.
- Information received from affiliates or non-affiliated third parties, such as bank references and Know Your Customer data.
- Information received from outside sources, such as broker-dealers and custodians assisting GEG Advisors in the execution and settlement of transactions affected for your account(s) may include, account holdings and balances.

HOW GEG ADVISORS PROTECTS PERSONAL INFORMATION

GEG Advisors safeguards your information from potential internal and external threats by:

- Maintaining physical, electronic, and procedural measures designed to protect your personal information.
- Limiting access to your information to those employees who have a legitimate business purpose.
- Requiring employees to maintain and protect the confidentiality of your personal information and following established procedures to do so.

CATEGORIES OF PERSONAL INFORMATION DISCLOSED

GEG Advisors does not disclose your personal information to nonaffiliated third parties, except as permitted or required by applicable law or regulatory authorities.

- Should GEG Advisors, in the future, decide to disclose your personal information to nonaffiliated third parties that do not fall within a regulatory exception, then GEG Advisors shall notify you and provide the right to “opt out” of sharing your personal information.

- GEG Advisors may share your personal information with affiliated third parties. GEG Advisors requests its affiliates with whom information is shared not to disclose said information to any nonaffiliated third parties, except as permitted by regulatory exceptions and then subject to notification and the right to “opt out”.

FORMER CUSTOMERS

GEG Advisors continues to adhere to the information policies and practices described herein after the termination of a customer relationship.